

SUBSTITUTE FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 07/012001
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO (IF KNOWN) 09/194,875
INTERNATIONAL APPLICATION NO. PCT/GB97/01513	INTERNATIONAL FILING DATE 4/6/97 (4 Jun. 1997)	PRIORITY DATE CLAIMED 4/6/96 (4 Jun 1996)	
TITLE OF INVENTION ELECTRONIC DEVICE			
APPLICANT(S) FOR DO/EO/US PAUL MARTIN KENNY, JOHN KONSTANDELOS, MARK WHITEHEAD			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern other documents or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Verified Statement of Facts Petition under 37 CFR § 47 and for One-Month Extension of Time Check for \$240.00 (\$130 for surcharge and \$110 for extension of time) Postcard</p>			

01/24/2000 UNWIKER 00000275 061050 09194875


01 FC:122 130.00 CH

06/25/1999 PVOLPE 00000026 09194875

01 FC:115  
02 FC:154110.00 OP  
130.00 OPRECEIVED  
JUL 15 2002  
OFFICE OF PETITIONS

"EXPRESS MAIL" Mailing Label Number EL110518115US

Date of Deposit 21 June 1999

U.S. APPLICATION NO. (IF KNOWN) <b>09/194,875</b>	INTERNATIONAL APPLICATION NO. <b>PCT/GB97/01513</b>	ATTORNEY'S DOCKET NUMBER <b>07217/012001</b>	
<b>17. ■ The following fees are submitted:</b>  Basic National Fee (37 CFR 1.492(a)(1)-(5)):  Search report has been prepared by the EPO or JPO ..... \$ 0  International preliminary examination fee paid to USPTO (37 CFR 1.482).... \$ 0  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$ 0  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 0  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4)..... \$ 0  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT</b></div>		<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 mos from the earliest claimed priority date (37 CFR 1.492(e)).		<b>\$ 130.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>
TOTAL CLAIMS	10 - 20	0	x \$ 22
INDEPENDENT CLAIMS	2 - 3	0	x \$ 82
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260
			<b>\$ 00.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>\$ 130.00</b>
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement will follow. (Note 37 CFR 1.9, 1.27, 1.28.)			<b>\$ 0.00</b>
<b>SUBTOTAL</b>			<b>\$ 130.00</b>
Processing fee of \$130 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(f))			<b>\$ 00.00</b>
<b>TOTAL NATIONAL FEE</b>			<b>\$ 130.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).			<b>\$ 0.00</b>
<b>TOTAL FEES ENCLOSED</b>			<b>\$ 130.00</b>
			Amount to be refunded
			Charged
<p>a. ■ A check in the amount of \$240.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p><b>William J. Egan, III</b>  <b>FISH &amp; RICHARDSON P.C.</b>  <b>225 FRANKLIN STREET</b>  <b>BOSTON, MA 02110-2804</b></p>			
 SIGNATURE		Bao Q. Tran	
Reg. No. 37,955			

**RECEIVED**  
**JUL 15 2002**  
**OFFICE OF PETITIONS**



**QUALITY SECURITY EQUIPMENT MANUFACTURERS**

Our Ref: JAK/st/confjak/dibbs

18<sup>th</sup> May 1999

Mr A Darwin  
Dibb Lupton Alsop  
Fountain Precinct  
Balm Green  
Sheffield S1 1RZ

Dear Andrew

**Re: Intellectual Property**

We have been experiencing problems with regard to obtain signatures from Paul Kenny in respect of filing patent applications in other countries, when Paul is one of the officially designated inventors. In the past powers of attorney have been forwarded through respective solicitors but have not received a response.

We now have a further POA with a deadline of 21.5.99 for filing in the USA. Could you please advise how we can proceed with this.

Best regards

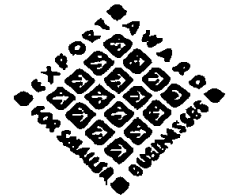
Yours sincerely

Julie A Kenny  
Managing Director

Registered Office

Pyronix House,  
Brackenall Way,  
Hollaby,  
Rotherham,  
South Yorkshire,  
S66 8QY,  
ENGLAND.

Tel: 01709 700100  
Fax: 01709 700101  
Tel Int: +44 1709 700100  
Fax Int: +44 1709 700101



**CERTIFICATE OF MERIT  
WINNER 1996**



**EASEN**

All Pyronix  
Products are



Marked



Directors : P.M. Kenny (CE), J.A. Kenny (MD), J.S. Herrera BSc.



QUALITY SECURITY EQUIPMENT MANUFACTURERS

Our Ref: /st

6<sup>th</sup> January 1999

Mr M Loxley  
Irwin Mitchell Solicitors  
St Peter's House  
Hartshead  
Sheffield  
S1 2EL

Dear Martin

As part of the procedure to register a Patent Application within the United States the enclosed forms require to be signed by the inventor, in this case Paul Kenny. Could you please arrange for the forms to be sent to Paul via his Solicitor urging their signature and immediate return.

Kind regards

Yours sincerely

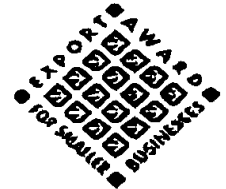
Shirley Toms

Enc.

Registered Office

Pyronix House,  
Braithwell Way,  
Mellaby,  
Rotherham,  
South Yorkshire,  
S66 8QY,  
ENGLAND.

Tel: 01709 700100  
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CERTIFICATE OF MERIT  
WINNER 1996



EASEN

All Pyronix  
Products are



Directors : P.M. Kenny (GE), J.A. Kenny (MD), J.S. Hetera BSc.  
S. Ingram BA (Econ) FCA, R.Kirk ACMA (Co. Sec.)

02-JUN-1999 13:10 FROM IRWIN MITCHELL SOLICITORS TO

01789788101 P.10/11

Your Ref: MRB CRIS 18445 1.8  
Our Ref: MPL JG FS

McCormicks  
Solicitors  
Britannia Chambers  
4 Oxford Place  
Leeds LS1 3AX  
DX NO 26427 LEEDS PARK SQUARE

BY FAX AND DX

7 January 1999

Dear Sirs

Kenny and Kenny

**1. Patent application**

We enclose combined Declaration and Power of Attorney form and assignment which needs to be signed by your client in connection with the registration of a patent application in the United States. We would be grateful if you would please obtain your client's signature to these documents and return them to us as a matter of urgency.

02-JUN-1999 13:09 FROM IRWIN MITCHELL SOLICITORS TO

01709700101 P.08/11

**McCormicks**

SOLICITORS

BRITANNIA CHAMBERS, 4 OXFORD PLACE  
LEEDS LS1 3AX

TELEPHONE: 0113 248 0522 (24 HOURS)

FAX: 0113 248 0000 E-MAIL: [McCormicks@btinternet.com](mailto:McCormicks@btinternet.com)  
DX NO. 28127 LEEDS PARK SQUAREPETER D. G. MCCORMICK  
NELL J. COODRUM  
GEOFFREY A. ROGERS  
MARK R. BURNS  
RICHARD S. CRAMER  
CLIVE S. LAWRENCE  
C. M. ROGER HUTTONASSOCIATES  
PHILIPPA DUCKITT  
RICHARD MORAN  
SARA BAZLEY  
STEPHEN PROCTOR  
KAROLYN MATHIAS  
ROBERT WOOD  
JUDITH GILBY  
JAYNE MICHARD  
DEN. 040640Irwin Mitchell,  
DX 15013  
SHEFFIELD

Fax : 0114 275 3306

Our Ref:

MRB/CMS/18445/1.3

Your Ref:

MPL

Date:

7 January 1999

Direct Fax : 245 9775

Dear Sirs,

Re: Kenny -v- Kenny

3. Patent Application

We will take instructions.

02-JUN-1999 13:08 FROM IRWIN MITCHELL SOLICITORS TO

01709700101 P.06/11

**McCORMICKS**

SOLICITORS

PETER D. G. McCORMICK  
 NI , GOODRUM  
 GEOFFREY A. ROGERS  
 MARK A. BURNS  
 RICHARD G. CRAMER  
 CLIVE S. LAWRENCE  
 C. N. ROGER HUTTON

BRITANNIA CHAMBERS, 4 OXFORD PLACE  
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TELEPHONE: 0113 246 0622 (24 HOURS)

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ASSOCIATES  
 PHILIPPA DRECEY  
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 STEPHEN PROCTOR  
 HAROLD MATHERS  
 ROBERT ROSE  
 ROBIN COLEY  
 JAMES BUTCHER  
 BEN LARGAN  
 JOHN MACLE

Irwin Mitchell  
 DX 15013  
 SHEFFIELD

Our Ref:

MRB/ND5/18445/1.8

Your Ref:

MPL

Date:

18 January 1999

Dear Sirs

Re: **Kenny -v- Kenny**3. **Patent Application**

Our client is unclear as to how this issue relates to the matrimonial proceedings. He is also unclear as to the basis of the requirement that he should execute the same. Would you please clarify.

02-JUN-1999 13:09 FROM IRWIN MITCHELL SOLICITORS TO

01709700101 P.04/11

Your Ref: MRE CMS 18445 1.B  
Our Ref: MPL JG FS

McCormicks  
Solicitors  
Britannia Chambers  
4 Oxford Place  
Leeds LS1 3AX  
DX NO 26427 LEEDS PARK SQUARE

BY FAX AND DX

26 January 1999

Dear Sirs

Kenny and Kenny

**3. Patent application**

Your client is correct. The application does not relate to the matrimonial proceedings. It was sent to your client for simplicity. If your client requires clarification then our client will arrange for the Company solicitors to write to him. Please confirm by return as this matter is urgent.



02-JUN-1999 13:07 FROM IRWIN MITCHELL SOLICITORS TO

01709700101 P.02/11

**McCormicks**

SOLICITORS

PETER D. G. McCORMICK  
 IL J. GOODRUM  
 GEOFFREY A. ROGERS  
 MARK R. BURNS  
 RICHARD G. CRAMER  
 CLIVE S. LAWRENCE  
 C. N. ROGER HUTTON

BRITANNIA CHAMBERS, 4 OXFORD PLACE  
 LEEDS LS1 3AX

TELEPHONE: 0113 246 0522 (24 HOURS)

FAX: 0113 246 7486 E-MAIL: [McCormicks@irwinmitchell.com](mailto:McCormicks@irwinmitchell.com)  
 DX NO. 28427 LEEDS PARK SQUARE

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 HAROLD MATHERS  
 ROBERT GODE  
 RODIN OGLEY  
 JAYNE BUTCHER  
 NEIL LARGAN  
 JOHN WACKLE  
 ADAM WALKER

Irwin Mitchell,  
 DX 15013  
 SHEFFIELD

Our Ref: MRB/CMS/18445/1.8

Your Ref: MPL

Date: 16 February 1999

Direct Fax : 245 9775

Dear Sirs,

Re: **Kenny -v- Kenny****3. Patent Application**

Your client has made it clear in these proceedings that she wishes company matters to be dealt entirely separately from the matrimonial proceedings. In the circumstances, we suggest that any correspondence on this issue is dealt with consistently and as such we look forward to hearing from the company's solicitors.

BEST AVAILABLE COPY



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

09/194,875  
U.S. APPLICATION NO. 09/194,875  
Kenny  
FIRST NAMED APPLICANT  
ATTY. DOCKET NO. 072177081001

FISH & RICHARDSON  
225 FRANKLIN STREET  
BOSTON MA 02110-2804

RECEIVED

APR 23 1999

07217/01200  
INTERNATIONAL APPLICATION NO.  
PCT/GB97/01513

I.A. FILING DATE 06/04/97  
PRIORITY DATE 06/04/96  
DATE MAILED 04/21/99

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☐ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☒ Other: IB 306

DOCKETED BY PRACTICE SYSTEMS  
Action Code PCT MISSING PARTS  
Base Date 4/21/99  
Due Date 5/21/99  
Deadline 10/21/99  
Initials LXA (2)

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

DOCKETED BY BILLING SECRETARY  
Due Date 5/21/99  
Deadline 10/21/99  
Initials hcc/mtt on 4/29/99

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE **MUST** BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

- Enclosed:
- ☐ PCT/DO/EO/917
  - ☐ Notice of Defective Translation
  - ☐ PTO-875
- FORM PCT/DO/EO/905 (December 1997)

Abraham D. Williams  
Telephone: 703-305-3744